

Lecture 9: Amplitude Amplification Algorithms

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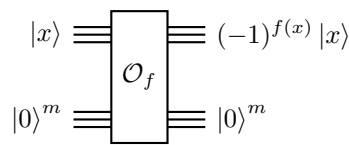
In this lecture, we focus on Grover’s algorithm, and its generalization the quantum amplification strategy. The idea is that we are looking for one of M marked elements inside a set of $N > M$ elements. The marked elements $x \in \{1, \dots, N\}$ satisfy $f(x) = 1$ for some function f . If we do not assume any particular structure (such as an ordering of the elements for example), then on the worst case scenario, a classical algorithm would need to evaluate f at $N - M$ elements before finding the correct one. On average, N/M elements could suffice. With a quantum computer however, we can get away with evaluating f only $\sqrt{M/N}$ time on average. Like the Deutsch-Jozsa algorithm, this result demonstrate the superiority of quantum computers over their classical counterpart for certain computational tasks.

9.1 Grover’s search method

Grover’s search algorithm starts with the state $|0\rangle^{\otimes n}$, and after applying $H^{\otimes n}$, we obtain the state

$$|\psi\rangle := \frac{1}{\sqrt{N}} \sum_x |x\rangle.$$

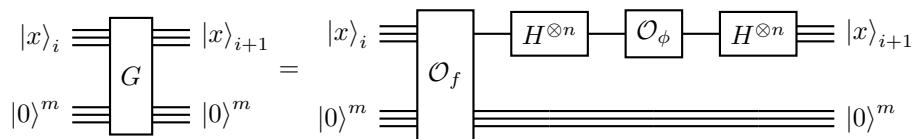
A measurement on the system in the state $|\psi\rangle$ would yield a marked element with probability M/N . Grover’s algorithm proposes to perform a series of computations aiming to raise the amplitude of the $|x\rangle$ for x a marked element while reducing all the other ones. At the end of the procedure, a measurement will likely yield a marked element. For this, we need a circuit that evaluates f . More specifically, we need to implement the circuit



We call this circuit the “oracle”. A typical use case is when we know an efficient classical algorithm for f . Then using the methods previously seen, we can efficiently implement \mathcal{O}_f . The other essential ingredient is a conditional phase shift circuit called \mathcal{O}_ϕ that realizes the following transformation

$$\mathcal{O}_\phi |0\rangle = |0\rangle, \text{ and } \mathcal{O}_\phi |x\rangle = -|x\rangle \text{ for } x \neq 0.$$

Grover’s algorithm is the repetition of an elementary step involving \mathcal{O}_f and \mathcal{O}_ϕ that we call the “Grover iterate”. It can be represented like this



Proposition 9.1 *The action of $H^{\otimes n} \mathcal{O}_\phi H^{\otimes n}$ is the linear operator $2|\psi\rangle\langle\psi| - I$, and the Grover operators is*

$$G = (2|\psi\rangle\langle\psi| - I) \mathcal{O}_f.$$

Proof: The conditional phase shift acts as $2|0\rangle\langle 0| - I$. Then by substituting it in $H^{\otimes n} \mathcal{O}_\phi H^{\otimes n}$ we get

$$\begin{aligned} H^{\otimes n} \mathcal{O}_\phi H^{\otimes n} &= H^{\otimes n} 2|0\rangle\langle 0| - I H^{\otimes n} \\ &= (2H^{\otimes n} |0\rangle\langle 0| - H^{\otimes n}) H^{\otimes n} \\ &= 2H^{\otimes n} |0\rangle\langle 0| H^{\otimes n} - H^{\otimes n} H^{\otimes n} \\ &= 2|\psi\rangle\langle\psi| - I \text{ since } H^{\otimes n} H^{\otimes n} = I \text{ and } H^{\otimes n} |0\rangle = |\psi\rangle \end{aligned}$$

The result on G follows since the Grover iterate is the composition of \mathcal{O}_f with the above block. ■

Proposition 9.2 *The operator $2|\psi\rangle\langle\psi| - I$ realizes the following operation*

$$\sum_x \alpha_x |x\rangle \mapsto \sum_x (-\alpha_x + 2\langle\alpha\rangle) |x\rangle,$$

where $\langle\alpha\rangle := \frac{1}{N} \sum_x \alpha_x$. We call this operation the inversion about the mean.

Proof: We have the following identities

$$\begin{aligned} (2|\psi\rangle\langle\psi| - I) \left(\sum_x \alpha_x |x\rangle \right) &= 2|\psi\rangle\langle\psi| \left(\sum_x \alpha_x |x\rangle \right) - \sum_x \alpha_x |x\rangle \\ &= 2|\psi\rangle \sum_x \alpha_x \langle\psi|x\rangle - \sum_x \alpha_x |x\rangle \\ &= 2|\psi\rangle \sum_x \left(\frac{1}{\sqrt{N}} \sum_x \alpha_x \right) - \sum_x \alpha_x |x\rangle \\ &= 2\langle\alpha\rangle \sqrt{N} |\psi\rangle - \sum_x \alpha_x |x\rangle \\ &= 2\langle\alpha\rangle \sum_x |x\rangle - \sum_x \alpha_x |x\rangle \end{aligned}$$

There is an elegant interpretation of the action of the Grover iterate that helps quantifying how many iterations are required to ensure the optimum probability of measuring a marked element. Let $S \subseteq \{1, \dots, N\}$ be the set of marked elements of cardinality $|S| = M < N$. Additionally, we define the states

$$|\alpha\rangle = \frac{1}{\sqrt{N-M}} \sum_{x \notin S} |x\rangle \quad \text{and} \quad |\beta\rangle = \frac{1}{\sqrt{M}} \sum_{x \in S} |x\rangle$$

We clearly see that $|\alpha\rangle \perp |\beta\rangle$, and that

$$|\psi\rangle = \sqrt{\frac{N-M}{N}} |\alpha\rangle + \sqrt{\frac{M}{N}} |\beta\rangle$$

Proposition 9.3 *The Grover iterate G acts on $\text{Span}\{|\alpha\rangle, |\beta\rangle\}$ as*

$$G = \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix},$$

where θ is defined by $\cos(\theta/2) = \sqrt{\frac{N-M}{N}}$ and $\sin(\theta/2) = \sqrt{\frac{M}{N}}$. This means that G acts as a rotation of angle θ .

Proof: Let us evaluate the action of the Grover iterate on $|\alpha\rangle$.

$$\begin{aligned}
G|\alpha\rangle &= \frac{G}{\sqrt{N-M}} \sum_{x \notin S} |x\rangle \\
&= (2|\psi\rangle\langle\psi| - I) \frac{1}{\sqrt{N-M}} \sum_{x \notin S} |x\rangle \\
&= \frac{2}{\sqrt{N-M}} |\psi\rangle\langle\psi| \sum_{x \notin S} |x\rangle - \frac{1}{\sqrt{N-M}} \sum_{x \notin S} |x\rangle \\
&= \frac{2}{\sqrt{N}\sqrt{N-M}} |\psi\rangle \sum_{x \notin S} 1 - \frac{1}{\sqrt{N-M}} \sum_{x \notin S} |x\rangle \\
&= \frac{2\sqrt{N-M}}{N} \sum_x |x\rangle - \frac{1}{\sqrt{N-M}} \sum_{x \notin S} |x\rangle \\
&= \frac{2\sqrt{N-M}}{N} \sum_{x \in S} |x\rangle + \left(\frac{2\sqrt{N-M}}{N} - \frac{1}{\sqrt{N-M}} \right) \sum_{x \notin S} |x\rangle \\
&= \underbrace{\frac{2\sqrt{M}\sqrt{N-M}}{N}}_{\sin(\theta)} \underbrace{\frac{1}{\sqrt{M}} \sum_{x \in S} |x\rangle}_{|\beta\rangle} + \underbrace{\left(\frac{2\sqrt{N-M}}{N} - 1 \right)}_{\cos(\theta)} \underbrace{\frac{1}{\sqrt{N-M}} \sum_{x \notin S} |x\rangle}_{|\alpha\rangle}.
\end{aligned}$$

Thus $G|\alpha\rangle = \cos(\theta)|\alpha\rangle + \sin(\theta)|\beta\rangle$. Likewise, we can prove that $G|\beta\rangle = -\sin(\theta)|\alpha\rangle + \cos(\theta)|\beta\rangle$, which shows that G acts like a rotation of angle θ . \blacksquare

Since we start with the state $|\psi\rangle = \cos(\theta/2)|\alpha\rangle + \sin(\theta/2)|\beta\rangle$, the state we reach after k iterations is

$$G^k|\psi\rangle = \cos\left(\frac{2k+1}{2}\theta\right)|\alpha\rangle + \sin\left(\frac{2k+1}{2}\theta\right)|\beta\rangle.$$

To maximize our chances to measure an element in S , we want that $\frac{2k+1}{2}\theta \approx \frac{\pi}{2}$. This leads us to choose $k = \lfloor \frac{\pi}{2\theta} - \frac{1}{2} \rfloor$ where $\lfloor x \rfloor$ denotes the rounding of x to the nearest integer. In particular, this means that

$$\left| \frac{2k+1}{2}\theta - \frac{\pi}{2} \right| \leq \theta \left| k - \left(\frac{\pi}{2\theta} - \frac{1}{2} \right) \right| \leq \frac{\theta}{2}.$$

When we assume that $M \leq N/2$, we can choose $\theta \in [0, \pi/2]$, and therefore, $0 \leq \theta/2 \leq \pi/4$, and we have

$$\frac{\sqrt{2}}{2} \leq \sin\left(\frac{2k+1}{2}\theta\right) \leq 1.$$

Proposition 9.4 Assuming that $M \leq N/2$, a measurement of the state after $k \leq \lfloor \frac{\pi}{4} \sqrt{\frac{N}{M}} \rfloor$ yields $x \in S$ with probability at least $\frac{1}{2}$.

Proof: We have seen that after $k = \lfloor \frac{\pi}{2\theta} - \frac{1}{2} \rfloor$ a projective measurement with respect to $|\beta\rangle$ leaves the system in the state $|\beta\rangle$ (a superposition of all solutions) with probability

$$\sin^2\left(\frac{2k+1}{2}\theta\right) \geq \frac{1}{2}.$$

Since we have

$$\frac{\theta}{2} \geq \sin\left(\frac{\theta}{2}\right) = \sqrt{\frac{M}{N}},$$

we can conclude that $k = \lfloor \frac{\pi}{2\theta} - \frac{1}{2} \rfloor \leq \lceil \frac{\pi}{4} \sqrt{\frac{N}{M}} \rceil$. ■

9.2 Amplitude amplification

Grover's search algorithm can be viewed as a special case of a more general family of search algorithm: *amplitude amplification* algorithms. These algorithms assume the knowledge of an algorithm A that produces a superposition over all possible outcomes with certain weights

$$A |0\rangle^{\otimes n} = \sum_{x < N} \alpha_x |x\rangle |\text{junk}(x)\rangle = |\psi\rangle.$$

In the case of Grover's algorithm, $A = H^{\otimes n}$, but in general, the measurement of $|\psi\rangle$ yields $x \in S$ with probability

$$1 > p = \sum_{x \in S} |\alpha_x|^2 > 0$$

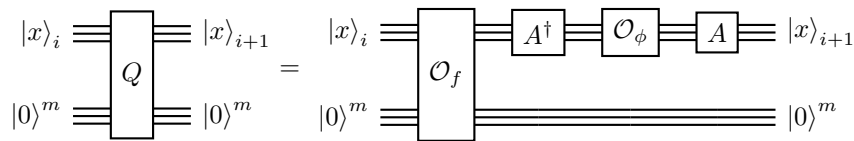
that is not necessarily M/N (it is hopefully better than that, otherwise we can Grover's algorithm). We define the states

$$|\alpha\rangle = \frac{1}{\sqrt{1-p}} \sum_{x \notin S} \alpha_x |x\rangle |\text{junk}(x)\rangle \quad \text{and} \quad |\beta\rangle = \frac{1}{\sqrt{p}} \sum_{x \in S} \alpha_x |x\rangle |\text{junk}(x)\rangle$$

We clearly see that $|\alpha\rangle \perp |\beta\rangle$, and that

$$|\psi\rangle = \sqrt{1-p} |\alpha\rangle + \sqrt{p} |\beta\rangle$$

We define the search iteration as



where the states $|x\rangle_i$ include the qubits necessary to hold the junk space. Similarly to the Grover iterate, we have the following result with the new notations.

Proposition 9.5 *The action of $A O_\phi A^\dagger$ is the linear operator $2|\psi\rangle\langle\psi| - I$, and the amplitude amplification iterate is*

$$Q = (2|\psi\rangle\langle\psi| - I) O_f.$$

Still following the analogy with the Grover search method, we can view the amplitude amplification as a rotation.

Proposition 9.6 *The amplitude amplification iterate Q acts on $\text{Span}\{|\alpha\rangle, |\beta\rangle\}$ as*

$$G = \begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix},$$

where θ is defined by $\cos(\theta/2) = \sqrt{1-p}$ and $\sin(\theta/2) = \sqrt{p}$. This means that Q acts as a rotation of angle θ .

Since we start with the state $|\psi\rangle = \cos(\theta/2)|\alpha\rangle + \sin(\theta/2)|\beta\rangle$, the state we reach after k iterations is

$$Q^k |\psi\rangle = \cos\left(\frac{2k+1}{2}\theta\right)|\alpha\rangle + \sin\left(\frac{2k+1}{2}\theta\right)|\beta\rangle.$$

As before, the probability of measuring $x \in S$ at the end of the procedure is $\sin\left(\frac{2k+1}{2}\theta\right)^2$. We perform the minimum number of iterations k such that $\sin\left(\frac{2k+1}{2}\theta\right) \approx \sin(\pi/2)$. Following the same arguments as before, we get the following bound on the number of iterations required.

Proposition 9.7 *Assuming that $p \leq 1/2$, a measurement of the state after $k \leq \lceil \frac{\pi}{4\sqrt{p}} \rceil$ yields $x \in S$ with probability at least $\frac{1}{2}$.*

This means that amplitude amplification uses an algorithm that would take $O(1/p)$ steps to return $x \in S$ with probability $1/2$ as a subroutine of another algorithm that only $O(1/\sqrt{p})$ steps to return $x \in S$ with probability $1/2$. This generalizes Grover's algorithm that uses the uniform superposition of all $x < N$, which takes $O(M/N)$ attempts through measurements to return $x \in S$ as a subroutine of a procedure that only takes $O\left(\sqrt{\frac{M}{N}}\right)$ steps.